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		Application Number	10/001,891			
TRANSMITTAL		Filing Date	November 19, 2001			
S) CODM						
0 1 2002 <u>m</u>		First Named Inventor	Huli, Jonathan J.			
(to be used for all correspondent	ce after initial filing)	Group Art Unit	2173			
RADEMARIE		Examiner Name	To Be Assigned			
Total Number of Pages in This Su	bmission 1	Attorney Docket Number	015358-007400US			
	ENCLO	OSURES (check all that apply)	•			
Fee Transmittal Form		ment Papers . Application)	After Allowance Communication to Group			
Fee Attached	Drawing	g(s)	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response		ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	and Ac	n Routing Slip (PTO/SB/69) ecompanying Petition	Proprietary Information			
Affidavits/declaration(s)		n to Convert to a ional Application	Status Letter			
Extension of Time Request	ctension of Time Request Power of Change		Other Enclosure(s) (please identify below):			
Express Abandonment Request		al Disclaimer st for Refund	Second Request to Correct Filing Receipt; Second Redlined Corrected Copy of Filing Receipt;Copies of supporting documents:			
☐ Information Disclosure Statement		umber of CD(s)	a) Copy of Stamped Postcard for 11/19 filing; b) Copy of Express Mail for 11/19 filing; c) Copy of Transmittal form for 11/11 filing; d) Copy of Stamped Postcard for 11/21 filing, e) Copy of Stamped Registered Mail Certificate for 11/21 filing; f) Copy of Transmittal Form for Statement filed 11/21, g) Copy of Statement Under 3 CFR 1.6(e) filed 11/21, Return Postcard			
Certified Copy of Priority Document(s)			authorized to charge any additional fees to 430.			
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
		APPLICANT, ATTORNEY, O	R AGENT			
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and Sujit B. Ko	Sujit B. Kotwal Reg. No. 43,336					
Signature S. 2	S.B. Kobwal					
Date July 1, 200	July 1, 2002					
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Express Mail Label: EL 380 687 1						
I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date July 1, 2002 and is addressed to: Office of Initial Patent Examination,						
Typed or printed name	<del></del>	<del></del>	PA 3232850  Date July 1, 2002			

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"Express Mail" Label No. <u>EL 380 6</u> Date of Deposit <u>July 1, 2002</u> **PATENT** 

Attorney Docket No.: 015358-007400US Client Reference No.: ID-RSV-263D

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Office of Initial Patent Examination Assistant Commissioner for Patents Washington, D.C. 20231

By:

Daniel Miranda

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JONATHAN J. HULL et al.

Application No.: 10/001,891

Filed: November 19, 2001

For: PAPER-BASED INTERFACE FOR MULTIMEDIA INFORMATION STORED BY MULTIPLE MULTIMEDIA

DOCUMENTS

Examiner:

To Be Assigned

Art Unit:

2173

SECOND REQUEST FOR CORRECTED

FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

On January 29, 2002, Applicants filed a first request to correct the filing date printed on the official filing receipt received from the U.S. Patent Office. In response, we received an updated filing receipt. However, upon review of the updated filing receipt, Applicants noted that the error in filing date has not been corrected. Consequently, a second request for corrected filing receipt is being submitted.

Attached is a redlined copy of the Updated Filing Receipt received from the Patent and Trademark Office indicating corrections to be made. Issuance of a corrected filing receipt is respectfully requested.

JONATHAN J. HULL et al. Application No.: 10/001,891

Page 2

As indicated on the redlined copy, there are errors in the "Filing Date" and "Title" sections of the Updated Filing Receipt.

# "Filing Date" error:

The correct "Filing Date" should be as follows:

November 19, 2001

As noted in our Request for Corrected Filing Receipt filed on January 29, 2002, the filing of the above-identified patent application using an Express Mail envelope (having label "EL 265 818 845 US") was attempted on November 19, 2001. However, the U.S. Postal Service (USPS) (Post Office located at Hamilton street, Palo Alto, CA) refused to accept the application. This refusal by the USPS was due to a memorandum issued by Patrick R. Donahue, Chief Operating Officer and Executive Vice President of the United States Postal Service (USPS), temporarily and immediately suspending Express Mail service to Washington, D.C., ZIP Codes 202xx through 205xx (e.g., 20231). The U.S. Patents and Trademark Office designated this interruption in the Express Mail service of the USPS as a postal service interruption within the meaning of 35 U.S.C. 21(a) and 37 CFR 1.6(e).

Per USPTO guidelines, in order to secure the <u>November 19, 2001</u> filing date, we "re-filed" the sealed Express Mail envelope (with label "EL 265 818 845 US") containing the application on <u>November 21, 2001</u> via registered mail (Registered No.: RR877651265US) along with a Statement Under 37 CFR 1.6(e)) that the USPS refused to accept Express Mail Correspondence on November 19, 2001. Per USPTO rules, the <u>registered mail filing including</u> the statement and the sealed Express Mail envelope containing the application is sufficient for <u>obtaining a filing date of November 19, 2001</u>.

Copies of the following documents are attached in support of the request to correct the "Filing Date":

- a) Copy of the Postcard filed with the application and enclosed in Express Mail envelope (label "EL 265 818 845 US") showing the attempted "Mail Date" of November 19, 2001. The Postcard has been <u>incorrectly stamped 11/30/01</u> by the USPTO;
- b) Copy of Express Mail Certificate No. EL 265 818 845 US attached to the sealed Express Mail envelope containing the application, the filing of which was attempted on November 19, 2001;
- c) Copy of Utility Patent Application Transmittal attached to the application enclosed in Express Mail envelope (Label "EL 265 818 845 US"), the filing of which was attempted on November 19, 2001. The Transmittal contains a certification reciting that the application is being deposited with the USPS "Express Mail Post Office to Addressee" services under 37 CFR 1.10 on November 19, 2001;

JONATHAN J. HULL et al. Application No.: 10/001,891

Page 3

- d) Copy of the Stamped Postcard dated November 21, 2001 attached to the registered mail filing (Registered No.: RR877651265US) that included the Sealed Express Mail Envelope (Label "EL 265 818 845 US") containing the application;
- e) Copy of the Stamped Registered Mail Certificate No. RR877651265US (date stamped November 21, 2001) attached to the registered mail filing that included the sealed Express Mail envelope containing the application;
- f) Copy of the SB/21 Transmittal Form submitted for the documents filed via registered mail on November 21, 2001. The Transmittal indicates that the envelope contained a patent application, Attorney Docket No. 15358-007400US, the filing of which was attempted on November 19, 2001; and
- g) Copy of the "Statement Under 37 CFR 1.6(e) That USPS Refused to Accept Express Mail Correspondence" filed on November 21, 2001 via registered mail along with the sealed Express Mail envelope containing the application.

# Error in "Title":

The correct "Title" should read as follows (with error noted in bold text):

PAPER-BASED INTERFACE FOR MULTIMEDIA INFORMATION STORED BY MULTIPLE MULTIMEDIA DOCUMENTS

In light of the above, Applicants respectfully request that the Filing Date for the application be changed to November 19, 2001 and the Title be corrected as noted above.

The corrections are not due to any errors by Applicants and no fee is due.

Respectfully submitted,

S. B. Kohenl

Sujit B. Kotwal Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (650) 326-2400 Fax: (650) 326-2422

SBK/km PA 3232857 v1



11/30/2001

015358-00740005

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**GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS APPLICATION NUMBER FILING DATE 015358-

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SAN FRANCISCO, CA 94111-3834

10/001,891

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2173

**CONFIRMATION NO. 1067** 

**UPDATED FILING RECEIPT** 

34

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\*OC000000008083283\*

Date Mailed: 05/10/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jonathan J. Hull, San Carlos, CA; Jamey Graham, San Jose, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/28/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

**Early Publication Request: No** 

Title

multiple

Paper-based interface for multimedia information stored by multimedia documents

**Preliminary Class** 

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TRANSMITTAL

pplication Number

PTO/SB/21 (08-00)

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To Be Assigned

#### November 19, 2001 Filing Date **FORM** Hull, Jonathan J. First Named Inventor (to be used for all correspondence after initial filing) To Be Assigned **Group Art Unit Examiner Name** To Be Assigned Attorney Docket Number 015358-007400US Total Number of Pages in This Submission 1 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Statement Under 37 CFR 1.6(e); Sealed Express Abandonment Request Express Mail Envelope having Label No. Request for Refund EL265818845US; Return Postcard Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application The Express Mail envelope contains a patent application, Attorney Docket No. 015358-Response to Missing 007400US, the filing of which was attempted on November 19, 2001. Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as registered first class mail in an envelope addressed to: BOX FILING DATE, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:  November 21, 2001						
Typed or printed name	Sujit B. Kotwal					
Signature	S.B. Kotwal	Date	November 21, 2001			

Reg. No. 43,336

Townsend and Townsend and Crew LLP

S. B. Kotwal

Sujit B. Kotwal

November 21, 2001

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Firm

Date

Individual name

Signature



# PATENT APPLICATION FILING ACKNOWLEDGMENT

Mail Date: November 19, 2001

Atty: SBK/km

Application Filed: Herewith

Atty Dkt: 015358-007400US

Application Serial No.: Unassigned

Certificate of Express Mail No.: EL 265 818 845 US

Inventor(s):

JONATHAN J. HULL et al.

Title:

PAPER-BASED INTERFACE FOR MULTIMEDIA INFORMATION

STORED BY MULTIPLE MULTIMEDIA DOCUMENTS

No. Pages of Application Transmittal - 1

No. Pages of Title Page - 1

DEC 1 9 2001

No. Pages of Description - 62

No. Pages of Claims - 8

No. Sheets of Drawings - 34

No. Pages of Abstract - I

No. Pages of Combined Declaration & Power of Attorney - 2

No. Pages of Application Data Sheet - 3

No. Pages of Nonpublication Request - 1

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# PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 015358-007400US

First Inventor Hull, Jonathan J.

Title PAPER-BASED INTERFACE FOR MULTIMEDIA INFORMATION STORED BY MULTIPLE MULTIMEDIA DOCUMENTS

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. EL 265 818 845 US **Assistant Commissioner for Patents APPLICATION ELEMENTS** ADDRESS TO **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning design patent application contents. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 8. Nucleotide and/or Amino Acid Sequence Submission 2. Applicant claims small entity status. (if applicable, all necessary) See 37 CFR 1.27. 3. 🛛 Specification [Total Pages 72 (preferred arrangement set forth below) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or - Descriptive title of the Invention (Title Page) - Cross References to Related Applications ii. 

paper number of pages - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention Assignment Papers (cover sheet & document(s)) - Brief Summary of the Invention - Brief Description of the Drawings ( if filed) 10. 🔲 37 C.F.R.§3.73(b)Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. 🔲 English Translation Document (if applicable) Copies of IDS 12. 🔲 Information Disclosure Drawing(s) (35 U.S.C.113) [Total Sheets 34 Citations Statement (IDS)/PTO-1449 5. Declaration and Power of Attorney [Total Pages | 2 13. **Preliminary Amendment** a. Newly unexecuted (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15.  $\square$ Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🛛 Nonpublication Request under 35 U.S.C. named in the prior application, see 37 CFR 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: Do not charge the application fee at this time 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Divisional of prior application No: ☐ Continuation ☐ Continuation-in-part (CIP) Prior application information: Examiner Group Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below ☐ Customer Number or Bar Code Label 20350 (Insert Customer No. or Attach bar code label here) Name Address City Zip Code State Country Telephone 43 336 Name (Print/Type) Sujit B. Kotwal Registration No. (Attorney/Agent) Date November 19, 2001 Signature S.B. Kotwal EL 265 818 845 US Date of Deposit: November 19, 2001 "Express Mail" Label No.: \_

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Enclosed

1) Transmittal Form SB/21 (1 page)

2)

Statement Under 37 CFR 1.6(e) That USPS Refused To

Accept Express Mail Correspondence (2 pages)
Sealed Express Mail Envelope No. EL 265 818 845 US

Return Receipt Postcard

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Application No.:

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Date Due:

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To Be Assigned 015358-007400US

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PATENT Attorney Docket No.: 015358-007400US Client Reference No.: ID-RSV-263D

**BOX FILING DATE** 

Assistant Commissioner for Patents Washington, D.C. 20231

on November 21, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: S.B. Kotwal

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JONATHAN J. HULL et al.

Application No.: Unassigned

Attempted Filing Date: November 19,

2001

For: PAPER-BASED INTERFACE FOR

MULTIMEDIA INFORMATION

STORED BY MULTIPLE MULTIMEDIA DOCUMENTS

Examiner: U

Unassigned

Art Unit:

Unassigned

STATEMENT UNDER 37 CFR § 1.6(e) THAT USPS REFUSED TO ACCEPT EXPRESS MAIL CORRESPONDENCE

Box Filing Date Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

COPY

My name is Sujit B. Kotwal, registration number 43,336. I am a patent attorney working at Townsend and Townsend and Crew LLP.

This statement is being mailed as registered first class mail along with a sealed Express Mail envelope having label "EL 265 818 845 US" addressed to Box Patent Application, Assistant Commissioner of Patents & Trademarks, Washington, D.C., 20231-0001. This Express Mail envelope contains a patent application titled "Paper-Based Interface For Multimedia Information Stored By Multiple Multimedia

Jonathan J. Hull et al. Application No.: Unassigned

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Documents" by Jonathan J. Hull et al. (Attorney Docket Number 015358-007400US).

On November 19, 2001, I arrived at the Hamilton Street, Palo Alto, CA, post office at approximately 4:30 PM with the intention of depositing the enclosed Express Mail envelope (having label "EL 265 818 845 US") with the United States Postal Service under 37 CFR § 1.10.

The postal clerk at the Palo Alto post office refused to accept this Express Mail envelope, because on Friday, November 16, 2001, Patrick R. Donahoe, Chief Operating Officer and Executive Vice President of the United States Postal Service (USPS) issued a memorandum temporarily and immediately suspending Express Mail service to Washington, D.C., ZIP Codes 202xx through 205xx (e.g., 20231). The United States Patent and Trademark Office has designated this interruption in the Express Mail service of the USPS as a postal service interruption within the meaning of 35 USC § 21(a) and 37 CFR § 1.6(e).

I submit that the above identified Express Mail correspondence would have been filed on November 19, 2001, if it were not for the designated interruption or emergency in the United States Postal Service. Accordingly, I request under the provisions of 37 CFR § 1.6(e) that the enclosed application (Attorney Docket Number 015358-007400US) be granted a filing date of November 19, 2001.

If I can be of further assistance, please call me at 650-326-2400.

Respectfully submitted,

S.B. Kobush

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